

AUG-11-2003 17:23

NIXON, PEABODY 10TH

585 263 1600 P.01

1638

**Nixon Peabody LLP**

Attorneys at Law

Clinton Square  
P.O. Box 31051  
Rochester, New York 14603-1051  
(585) 263-1000

Fax: (585) 263-1600

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1) Examiner A. Kubelik Art Unit 1638	U.S. Patent and Trademark Office	(703) 872-9306	
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From: Michael L. Goldman	Date: August 11, 2003	No. of Pages: (including this page) 9	
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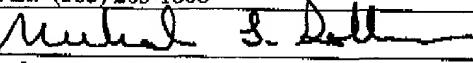
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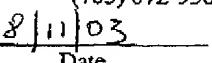
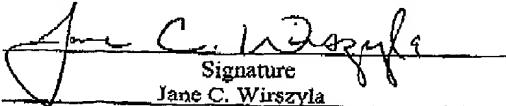
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From: Michael L. Goldman	Date: August 11, 2003	No. of Pages: (including this page) 9	Client/Matter: 19603/1559
User #: 1206	Ext: 1304	Disbursement Amount: \$	

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number 09/943,215
		Filing Date August 30, 2001
		First Named Inventor Pang et al.
		Group Art Unit 1638
		Examiner Name A.R. Kubelik
Total Number of Pages in This Submission 8		Attorney Docket Number 19603/1559 (CRF D-2052C)

<b>ENCLOSURES (check all that apply)</b>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Supplemental Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> A copy of the Notice to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input type="checkbox"/> Other Enclosure(s) (please identify below): _____
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	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm <i>or</i> Individual name	Michael L. Goldman Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1304 Fax: (585) 263-1600
Signature	
Date	August 11, 2003

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 Signature Jane C. Wirszyla Typed or printed name	

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585 263 1600 P.03

# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$ 0)

Complete if Known	
Application Number	09/943,215
Filing Date	August 30, 2001
First Named Inventor	Pang et al.
Examiner Name	A.R. Kubelik
Art Unit	1638
Attorney Docket No.	19603/1559 (CRF D-2052C)

**METHOD OF PAYMENT (check all that apply)**

Check  Credit Card  Money Order  Other  None

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Nixon Peabody LLP

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- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	750	2001 375 Utility filing fee	
1002	330	2002 165 Design filing fee	
1003	520	2003 260 Plant filing fee	
1004	750	2004 375 Reissue filing fee	
1005	160	2005 80 Provisional filing fee	

**SUBTOTAL (1) (\$ 0)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
27	-29** -	0 X 0 = 0	0
Independent Claims 2	-3** -	0 X 0 = 0	0
Multiple Dependent	X 0 = 0		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	84	2201 42 Independent claims in excess of 3
1203	280	2203 140 Multiple dependent claim, if not paid
1204	84	2204 42 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$ 0)**

\*\* or number previously paid, if greater; for Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

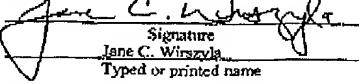
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	
1252	410	2252 205 Extension for reply within second month	
1253	930	2253 465 Extension for reply within third month	
1254	1,450	2254 725 Extension for reply within fourth month	
1255	1,970	2255 985 Extension for reply within fifth month	
1401	320	2401 160 Notice of Appeal	
1402	320	2402 160 Filing a brief in support of an appeal	
1403	280	2403 140 Request for oral hearing	
1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,300	2453 650 Petition to revive - unintentional	
1501	1,300	2501 650 Utility issue fee (or reissue)	
1502	470	2502 235 Design issue fee	
1503	630	2503 315 Plant issue fee	
1460	130	1460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	750	2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801 375 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	

\*Reduced by Basic Filing Fee Paid

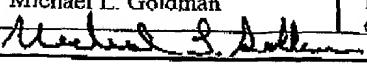
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Date
  
 Signature  
 Jane C. Wirszyla  
 Typed or printed name
**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Michael L. Goldman	Registration No. (Attorney/Agent)	30,727	Telephone	(585) 263-1304
Signature		Date			August 11, 2003

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PATENT  
Docket No.: 19603/1559 (CRF D-2052C)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants	:	Pang et al.	}	Examiner:	
Serial No.	:	09/943,215		A.R. Kubelik	
Cnfrm. No.	:	9965		}	Art Unit:
Filed	:	August 30, 2001			1638
For	:	DNA CONSTRUCT TO CONFER MULTIPLE TRAITS ON PLANTS			

**SUPPLEMENTAL AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please amend the above-identified application as follows:

**Amendments to the Claims** appear in the listing of claims beginning on page 2 of this paper.

**Remarks** begin on page 6 of this paper.